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Introduction

Insulating cavity in a conductor. Strategy for uniqueness. Tools for stability

Cavity with boundary impedance

Rigid inclusion in an elastic body.

End

Inverse problems with unknown boundaries: uniqueness and stability

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Cartagena, PICOF 2010

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## A family of problems



Consider a body  $\Omega \subset \mathbb{R}^n$  which might contain an unknown, inaccessible, cavity *D* (or an inclusion). To detect the presence and the shape of *D* from measurements taken from the exterior, accessible, boundary of  $\Omega$ , when some field (electric, electromagnetic, thermal, elastic, ...) is applied to it.

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## A family of problems

- Ω electrical conductor, *D* cavity with insulating boundary,
- $\Omega$  electrical conductor, *D* perfectly conducting inclusion,

Andrieux, Ben Abda, Jaoua (1993), Beretta, Vessella (1996), Bukhgeim, Cheng, Yamamoto (1998, 1999, 2000) Cheng, Hon and Yamamoto (2001), A., Beretta, Rosset, Vessella (2000), A., Rondi (2001).

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•  $\Omega$  elastic body, *D* rigid inclusion,

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• Ω fluid container, D immersed body,

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## The prototype.

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insulating cavity in a conductor

### Assume $\Omega \setminus \overline{D}$ connected.

$$\begin{cases} \Delta u = 0, & \text{in} \quad \Omega \setminus \overline{D}, \\ \nabla u \cdot \nu = 0, & \text{on} \quad \partial D, \\ \nabla u \cdot \nu = \psi, & \text{on} \quad \partial \Omega. \end{cases}$$

u exterior unit normal to  $\partial(\Omega \setminus \overline{D})$ .  $\int_{\partial\Omega} \psi = 0$ . Find *D* given  $u|_{\partial\Omega}$ .

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# The prototype.

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A.–Rondi (2001). Let n = 2,  $\Omega = B_1(0)$ ,  $D_0 = B_{1/2}(0)$ , denote z = x + iy and

$$f_k(z) = z \exp[\epsilon_k(z^k - z^{-k})], z \neq 0,$$

with

$$\epsilon_k = O(k^{-M}2^{-k}) \in \mathbb{R}, \ k = 1, 2, \dots$$

denote  $D_k = f(D_0)$ . Then  $D_k$  are uniformly  $C^M$ -smooth and

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## The prototype. instability

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$$d_{\mathcal{H}}(\partial D_0, \partial D_k) \sim k^{-M} \rightarrow 0$$
 polynomially,

#### whereas,

letting  $u_k$  be the potential corresponding to  $D_k$ , k = 0, 1, ...

$$\|u_k - u_0\|_{L^2(\partial\Omega)} \sim \epsilon_k^{1/2} \to 0$$
 exponentially.

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# The prototype.

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## Strategy for uniqueness.

Given two cavities  $D_1$ ,  $D_2$ , and given a nontrivial boundary current density  $\psi$ , let  $u_1$ ,  $u_2$  solve for i = 1, 2

$$\begin{cases} \Delta u_i = 0, & \text{in} \quad \Omega \setminus \overline{D_i}, \\ \nabla u_i \cdot \nu = 0, & \text{on} \quad \partial D_i, \\ \nabla u_i \cdot \nu = \psi, & \text{on} \quad \partial \Omega, \end{cases}$$

and suppose  $D_1, D_2$  give rise to the same potential on  $\partial \Omega$ :  $u_1|_{\partial \Omega} = u_2|_{\partial \Omega}$ . If we had  $D_1 \neq D_2$ , we might assume w.l.o.g.  $D_2 \setminus \overline{D_1} \neq \emptyset$ .

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## Strategy for uniqueness.



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Figure: two cavities.

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## Strategy for uniqueness.



Figure: the set G.

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*G*: connected component of  $\Omega \setminus (\overline{D_1 \cup D_2})$  such that  $\partial \Omega \subset \partial G$ .

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Figure: the set  $E_2 \supset D_2 \setminus \overline{D_1}$ .

 $\begin{aligned} E_2 &= \Omega \setminus \overline{D_1 \cup G} \\ \partial E_2 &= \Gamma_1 \cup \Gamma_2, \, \Gamma_1 \subset (\partial D_1 \setminus G), \, \Gamma_2 \subset (\partial D_2 \cap \partial G). \end{aligned}$ 

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## Strategy for uniqueness.

 $u_1, u_2$  have the same Cauchy data on  $\partial \Omega$ :

$$\nabla u_1 \cdot \nu = \nabla u_2 \cdot \nu = \psi$$
 and  $u_1 = u_2$  on  $\partial \Omega$ .

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 $u_1 \equiv u_2$  in G,

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abla u_2 \cdot \nu = 0 ext{ on } \Gamma_2 \subset (\partial D_2 \cap \partial G)$ 

Therefore

 $\int_{D_2 \setminus \overline{D_1}} |\nabla u_1|^2 \leq \int_{E_2} |\nabla u_1|^2 \leq$  $\leq \int_{\Gamma_1} |u_1 \nabla u_1 \cdot \nu| + \int_{\Gamma_2} |u_1 \nabla u_2 \cdot \nu| = 0.$ 

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Therefore

$$\begin{split} \int_{D_2 \setminus \overline{D_1}} |\nabla u_1|^2 &\leq \int_{E_2} |\nabla u_1|^2 \leq \\ &\leq \int_{\Gamma_1} |u_1 \nabla u_1 \cdot \nu| + \int_{\Gamma_2} |u_1 \nabla u_2 \cdot \nu| = 0. \end{split}$$

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### Either:

Strategy for uniqueness.

 $u_1 \equiv \text{ constant on an open set}$ 



 $D_2 \setminus \overline{D_1} = \emptyset.$ 

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unique continuation ↓

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## Tools for stability.

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Assume a-priori C<sup>1,α</sup> bounds on ∂D<sub>1</sub>, ∂D<sub>2</sub> and on ∂Ω.
Assume ψ nontrivial:

$$\frac{\|\psi\|_{L^2(\partial\Omega)}}{\|\psi\|_{H^{-1/2}(\partial\Omega)}} \leq F.$$

 $\|u_1-u_2\|_{L^2(\partial\Omega)}\leq\epsilon.$ 

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### Tools for stability. step 1

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### Stability for a Cauchy problem in G.

 $\int_{D_2 \setminus \overline{D_1}} |\nabla u_1|^2 \le \omega^{(2)}(\epsilon)$ where  $\omega^{(2)}(\epsilon) = \omega \circ \omega(\epsilon)$  and

Improved stability for a Cauchy problem in *G*. If in addition, *G* is known to be Lipschitz, then

 $\int_{D_2 \setminus \overline{D_1}} |\nabla u_1|^2 \le \omega(\epsilon)$ 

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$$\omega(\epsilon) \sim |\log \epsilon|^{-\gamma}, \text{ as } \epsilon \to 0.$$

Improved stability for a Cauchy problem in *G*. If in addition, *G* is known to be Lipschitz, then

$$\int_{D_2 \setminus \overline{D_1}} |\nabla u_1|^2 \leq \omega(\epsilon)$$

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### Tools for stability. step 2

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End.

### Propagation of smallness.

If, for a suitable s > 1,  $B_{s\rho}(x) \subset \Omega \setminus \overline{D_1}$  then

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### Propagation of smallness. If, for a suitable s > 1, $B_{s\rho}(x) \subset \Omega \setminus \overline{D_1}$ then

$$\int_{B_{\rho}(x)} |\nabla u_1|^2 \geq \frac{C(F)}{\exp[A\rho^{-B}]} \int_{\Omega \setminus \overline{D_1}} |\nabla u_1|^2.$$

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### Tools for stability. step 3

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### Geometric argument.

 $inradius(D_2 \setminus \overline{D_1}) + inradius(D_1 \setminus \overline{D_2}) \le \omega^{(3)}(\epsilon)$ 

using the  $C^{1,\alpha}$  a-priori bound

 $d_{\mathcal{H}}(\partial D_1, \partial D_2) \leq \omega^{(3)}(\epsilon).$ 

When  $\epsilon$  is small enough, then the above rough bound implies that *G* is Lipschitz, we can use the improved estimate for the Cauchy problem and arrive at

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### Tools for stability. step 4

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### How to improve the propagation of smallness?

Doubling at the boundary, with Neumann condition. Adolfsson and Escauriaza (1997). If  $\partial D_1 \in C^{1,1}$  then  $\forall x \in \partial D_1$ 

 $\int_{B_{2\rho}\setminus\overline{D_{1}}} |\nabla u_{1}|^{2} \leq C(F) \int_{B_{\rho}\setminus\overline{D_{1}}} |\nabla u_{1}|^{2}$   $\downarrow$   $\int_{B_{\rho}(x)\setminus\overline{D_{1}}} |\nabla u_{1}|^{2} \geq C\rho^{K} \int_{\Omega\setminus\overline{D_{1}}} |\nabla u_{1}|^{2}.$ 

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How to improve the propagation of smallness? Doubling at the boundary, with Neumann condition. Adolfsson and Escauriaza (1997).

 $\partial D_1 \in C^{1,1}$  then  $\forall x \in \partial D_1$ 

$$\begin{split} \int_{B_{2\rho}\setminus\overline{D_1}}|\nabla u_1|^2 &\leq C(F)\int_{B_{\rho}\setminus\overline{D_1}}|\nabla u_1|^2\\ & \Downarrow\\ \int_{B_{\rho}(x)\setminus\overline{D_1}}|\nabla u_1|^2 &\geq C\rho^K\int_{\Omega\setminus\overline{D_1}}|\nabla u_1|^2. \end{split}$$

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## In summary: we obtain

 $d_{\mathcal{H}}(\partial D_1, \partial D_2) < \omega^{(2)}(\epsilon).$ 

#### using

stability for the Cauchy pb. and propagation of smallness ↑ three spheres inequality

If we also have the

doubling inequality at the boundary

then we arrive at

 $d_{\mathcal{H}}(\partial D_1, \partial D_2) \leq \omega(\epsilon).$ 

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### Tools for stability.

the three spheres inequality

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For every 
$$0 < r_1 < r_2 < r_3$$

$$\int_{B_{r_2}} |u|^2 \le C \left( \int_{B_{r_1}} |u|^2 \right)^{\alpha} \left( \int_{B_{r_3}} |u|^2 \right)^{1-\alpha}$$

with  $C > 0, 0 < \alpha < 1$  only depending on  $\frac{r_2}{r_1}, \frac{r_3}{r_2}$ .

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### Cavity with impedance.

$$\begin{cases} \Delta u = 0, & \text{in } \Omega \setminus \overline{D}, \\ \nabla u \cdot \nu + \gamma u = 0, & \text{on } \partial D, \\ \nabla u \cdot \nu = \psi, & \text{on } \partial \Omega. \end{cases} \gamma \ge 0$$

### $\nu$ exterior unit normal to $\partial(\Omega \setminus \overline{D})$ .

- Non-uniqueness: one pair of Cauchy data (ψ, u|<sub>∂Ω</sub>) does not suffice to uniquely determine D (and γ). Cakoni, Kress (2007), Rundell (2008).
- Uniqueness: two pairs of Cauchy data  $(\psi, u|_{\partial\Omega})$  and  $(\widetilde{\psi}, \widetilde{u}|_{\partial\Omega})$ , with linearly independent  $\psi, \widetilde{\psi}$  and  $\psi \ge \mathbf{0}$  uniquely determine *D* and  $\gamma$ . Bacchelli (2009), Pagani, Pierotti (2009).
- Stability: with two such pairs there is *log*-stability. Sincich (2010).

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## Cavity with impedance.

#### what goes wrong?



Figure: the set  $E_2 \supset D_2 \setminus \overline{D_1}$ .

$$\begin{cases} \Delta u_1 = 0, & \text{in } E_2, \\ \nabla u_1 \cdot \nu + \gamma_1 u_1 = 0, & \text{on } \partial E_2 \cap \partial D_1, \\ -\nabla u_1 \cdot \nu + \gamma_2 u_1 = 0, & \text{on } \partial E_2 \cap \partial D_2, \end{cases}$$

 $\nu$  exterior unit normal to  $E_2$ .

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the approach by Sincich

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Let  $u_i$  be the potential corresponding to  $D_i$ , i = 1, 2. If  $\psi \ge 0$  then (strong maximum principle)  $u_i > 0$ . Set

$$V_i=\frac{U_i}{U_i},$$

then

 $\begin{cases} \operatorname{div}(u_i^2 \nabla v_i) = 0, & \text{in} \quad \Omega \setminus \overline{D_i}, \\ u_i^2 \nabla v_i \cdot \nu = 0, & \text{on} \quad \partial D_i, \\ u_i^2 \nabla v_i \cdot \nu = u_i \widetilde{\psi} - \widetilde{u_i} \psi, & \text{on} \quad \partial \Omega. \end{cases}$ 

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Let  $u_i$  be the potential corresponding to  $D_i$ , i = 1, 2. If  $\psi \ge 0$  then (strong maximum principle)  $u_i > 0$ . Set  $\widetilde{u}_i$ 

$$v_i = \frac{u_i}{u_i},$$

then

 $\left\{\begin{array}{ll} \operatorname{div}(u_i^2 \nabla v_i) = 0, & \text{in} \quad \Omega \setminus \overline{D_i}, \\ u_i^2 \nabla v_i \cdot \nu = 0, & \text{on} \quad \partial D_i, \\ u_i^2 \nabla v_i \cdot \nu = u_i \widetilde{\psi} - \widetilde{u_i} \psi, & \text{on} \quad \partial \Omega. \end{array}\right.$ 

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## Cavity with impedance.

open problem

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What if both  $\psi,\widetilde{\psi}$  change sign?

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# Rigid inclusion in elastic body. In $\mathbb{R}^3$ (or $\mathbb{R}^2$ ).

 $\begin{cases} \operatorname{div}(\mu(\nabla u + \nabla u^{T})) + \nabla(\lambda \operatorname{div} u) = 0, & \text{in} \quad \Omega \setminus \overline{D}, \\ u \in \mathcal{R}, & \text{on} \quad \partial D, \\ (\mu(\nabla u + \nabla u^{T}) + (\lambda \operatorname{div} u)I)\nu = \psi, & \text{on} \quad \partial \Omega. \end{cases}$ 

Lamè parameters  $\mu, \lambda \in C^{1,1}$  satisfying strong convexity  $\mu \ge \alpha > 0, 2\mu + 3\lambda \ge \beta > 0.$ 

 $\mathcal{R} = \text{ space of infinitesimal rigid displacements } =$  $= \{r(x)|r(x) = c + Wx, c \in \mathbb{R}^3, W + W^T = 0\}$ 

### + equilibrium condition

 $\int_{\partial D} (\mu (\nabla u + \nabla u^T) + (\lambda \operatorname{div} u) I) \nu \cdot r = 0 \ \forall r \in \mathcal{R}$ 

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### Rigid inclusion in elastic body.

In  $\mathbb{R}^3$  (or  $\mathbb{R}^2$ ).

ſ	$div(\mu(\nabla u + \nabla u^{T})) + \nabla(\lambda div u) = 0,$	in	$\Omega \setminus \overline{D}$ ,
ł	$u \in \mathcal{R},$	on	$\partial D$ ,
l	$(\mu(\nabla u + \nabla u^T) + (\lambda \operatorname{div} u)I)\nu = \psi,$	on	$\partial \Omega.$

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 $\int_{\partial D} (\mu (\nabla u + \nabla u^T) + (\lambda \operatorname{div} u) I) \nu \cdot r = 0 \ \forall r \in \mathcal{R}$ 

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 $\mathcal{R} = \text{space of infinitesimal rigid displacements} =$ = { $r(x) | r(x) = c + Wx, c \in \mathbb{R}^3, W + W^T = 0$ }

### + equilibrium condition

$$\int_{\partial D} (\mu (\nabla u + \nabla u^{\mathsf{T}}) + (\lambda \operatorname{div} u) I) \nu \cdot r = 0 \; \forall r \in \mathcal{R}$$

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### Rigid inclusion in elastic body.

### Inverse problem: given $u|_{\partial\Omega}$ find *D*.

Morassi and Rosset (2009): uniqueness and *log – log* stability.

Let  $u_i$  be the displacement field corresponding to  $D_i$ , i = 1, 2, we have  $u_i = r_i \in \mathcal{R}$ , with  $r_i$  unknown possibly different.

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## Rigid inclusion in elastic body.

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Figure: the set  $E_2 \supset D_2 \setminus \overline{D_1}$ .

$$\begin{cases} \operatorname{div}(\mu(\nabla u_1 + \nabla u_1^T)) + \nabla(\lambda \operatorname{div} u_1) = 0, & \text{in} \quad E_2, \\ u_1 = r_1, & \text{on} \quad \partial E_2 \cap \partial D_1, \\ u_1 = r_2, & \text{on} \quad \partial E_2 \cap \partial D_2, \end{cases}$$

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## Two cases

 ∂E<sub>2</sub> ∩ ∂D<sub>1</sub> ∩ ∂D<sub>2</sub> contains at least three points not aligned.

 $2 \ \partial E_2 \cap \partial D_1 \cap \partial D_2 \subset segment.$ 

 $\mathbf{1} \quad \mathbf{r}_1 = \mathbf{r}_2 \Rightarrow \mathbf{u}_1 \equiv \mathbf{r}_2 \text{ in } \mathbf{E}_2.$ 

2 topological argument  $\Rightarrow D_1 \subset D_2$  (or viceversa). Equilibrium condition + Korn inequality  $\Rightarrow u_1 \equiv r_2$ .

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# Rigid inclusions or cavities in elastic body.

open problem

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Doubling at the boundary?

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## My collaborators.



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## The end.



# **THANKS!**